

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Williams et al.

Serial No. : 09/941,882

Examiner: TBA

COPY OF PAPERS
ORIGINALLY FILED

Filed : Augus 28, 2001

Group Art Unit: TBA

For : METHOD OF DETERMINING THE NUCLEOTIDE SEQUENCE
OF OLIGONUCLEOTIDES AND DNA MOLEDCULESRESPONSE TO NOTICE TO FILE MISSING PARTS

I hereby certify that this paper is being deposited with the United States Postal Service
as first class mail in an envelope addressed to: Assistant Commissioner of Patents,
Washington, D.C. 20231. Date: March 1, 2002

Attorney: Carmella L. Stephens

PTO Reg. No. 41,328

Carmella L. Stephens
Signature

March 1, 2002
Date of Signature

Assistant Commissioner of Patents
Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts dated October 3, 2001, Applicants
submit herewith: (i) an executed Combined Declaration and Power of Attorney; (ii) a Petition
for Extension of Time under 37 CFR 1.136(a) for a period of three months, up to and including
March 3, 2002; and (iii) a check in the amount of \$525 (comprised of \$65 Declaration surcharge
and \$460 extension-of-time fee for three months).

Respectfully submitted,

Carmella L. Stephens
Louis S. Sorell
PTO Reg. No. 32,439

Carmella L. Stephens
PTO Registration No. 41,328

ATTORNEYS FOR APPLICANTS

(212) 408-2539

BAKER BOTTS, L.L.P
30 Rockefeller Plaza
New York NY 10112

BAKER BOTTS LLP

Please type a plus sign (+) inside this box

MAR 12 2002

COPY OF PAPERS
ORIGINALLY FILED

See to #3
03CO

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/941,882
Filing Date 08/28/2001
First Named Inventor Williams et al.
Group Art Unit TBA
Examiner Name TBA
Attorney Docket Number 31734-PCT-USA-A

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☒ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☒ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☒ Response to Missing Parts/
Incomplete Application
☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a
Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence
Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to Group
☐ Appeal Communication to Board
of Appeals and Interferences
☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☐ Other Enclosure(s) (please
identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Carmella L. Stephens

Att Name: Carmella L. Stephens
PTO Reg: 41,328

Date

March 1, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 1, 2002

Typed or printed name

Carmella L. Stephens

Signature

Carmella L. Stephens

Date March 1, 2002

BAKER BOTTS LLP

COPY OF PAPERS
ORIGINALLY FILED

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 525

Complete if Known

Application Number	09/941,882
Filing Date	08/28/2001
First Named Inventor	Williams et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	31734-PCT-USA-A

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
20**	= 0	X	= 0	
Independent Claims	3**	= 0	X	= 0
Multiple Dependent				

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	460
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 525

SUBMITTED BY

Name (Print/Type) Carmella L. Stephens

Registration No.
(Attorney/Agent)

41,328

Complete (if applicable)

Telephone (212) 408-2539

Signature

Carmella L. Stephens

Date

March 1, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,882	08/28/2001	Peter William	31734-PCT-USA-A

CONFIRMATION NO. 9563

FORMALITIES LETTER



OC000000006834817

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 SZEWDIE1 00000021 09941882

02 FC:205

65.00 DP